

# Arithmetic Chow rings and arithmetic characteristic classes

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# The geometry of numbers

# Arithmetic curves

Let  $K$  be a number field and let  $\mathcal{O}_K$  be its ring of integers.

The scheme  $X = \text{Spec } \mathcal{O}_K$  is an affine curve (we will call it an **arithmetic curve**) and its behaviour is similar to that of an affine curve defined over a field (a **geometric curve**).

We want to “compactify”  $X$  in the same way as an affine curve over a field can be compactified to yield a projective curve.

To this end we will start looking more closely at the geometric case.

# The affine line

Let now  $\mathbb{A}^1 = \text{Spec } \mathbb{C}[t]$ . The function field of  $\mathbb{A}^1$  is  $\mathbb{C}(t)$ .

We can compactify  $\mathbb{A}^1$  adding one point at infinity  $\infty$  and we write  $\mathbb{P}^1 = \mathbb{A}^1 \cup \{\infty\}$ .

From an algebraic point of view, what interests us is whether a given rational function has a zero or a pole at a given point.

For any point  $x \in \mathbb{A}^1$  there is a discrete valuation of  $\mathbb{C}(t)$  denoted  $\text{ord}_x$  that gives us this information.

But there is another discrete valuation  $\text{ord}_\infty(f(t)) = \text{ord}_0(f(1/t))$  that tells us exactly when the function  $f$  has a zero or a pole at the new point.

The points of  $\mathbb{P}^1$  are in bijective correspondence with the set of valuations of  $\mathbb{C}(t)$ .

# The compactified arithmetic curve

Following by analogy with the geometric case, we observe that, to every point  $p \in X$ , we can associate a discrete valuation of  $K$ , that tells us when an element  $f \in K$  has a zero or a pole on the given point.

There is no other discrete valuations of  $K!$ .

To a given discrete valuation we can associate a norm

$$\|f\|_p = N(p)^{-\text{ord}_p f}.$$

Besides the norms associated with discrete valuations, we find the Archimedean norms that are associated with non-equivalent complex immersions of  $K$ . Let  $S_\infty$  be the set of Archimedean norms.

The compactified arithmetic curve is  $\bar{X} = X \cup S_\infty$ .

# The analogy between arithmetic and algebraic curves

Let  $Y$  be a projective geometric curve defined over  $\mathbb{C}$ .

The fact that  $Y$  is projective is reflected in the residue formula, that implies that, if  $f \in K(Y)$  is a rational function then

$$\sum_{x \in Y} \text{ord}_x f = 0.$$

The analogous statement for compactified arithmetic curves is the product formula, that says that, if  $f \in K$ , then

$$\prod_{p \in X} \|f\|_p \prod_{\nu \in S_\infty} \|f\|_\nu = 1.$$

Observation: With the right normalization we can use the set of complex immersions of  $K$ ,  $\Sigma$ , instead of the set of Archimedean norms.



# The geometric Riemann-Roch theorem

Let  $Y$  be a geometric projective curve. Let  $\mathcal{L}$  be a line bundle over  $Y$ .

The Riemann-Roch theorem states that

$$\dim H^0(Y, \mathcal{L}) - \dim H^1(Y, \mathcal{L}) = \deg(\mathcal{L}) + 1 - g(Y).$$

One application of the Riemann-Roch theorem is a criterion for when a line bundle has global sections.

## Theorem (Asymptotic Riemann-Roch)

*If  $\deg(\mathcal{L}) \gg 0$  then  $\dim H^0(Y, \mathcal{L}) \neq 0$ .*



# Minkowski Theorem

## Theorem (Minkowski)

*Let  $B \subset \mathbb{R}^N$  be a compact, convex subset symmetric with respect to the origin. Let  $\Lambda$  be a lattice of  $\mathbb{R}^N$ . If*

$$\text{Vol}(\mathbb{R}^N/\Lambda) \leq 2^{-N} \text{Vol}(B),$$

*then there exists an element  $s \in B \cap \Lambda$ , with  $s \neq 0$ .*

What is the relationship between Minkowski Theorem and Riemann-Roch Theorem?

# Hermitian line bundles

Let  $X = \text{Spec } \mathcal{O}_K$ . A line bundle  $\mathcal{L}$  over  $X$  is a rank one projective module over  $\mathcal{O}_K$ .

How we can extend  $\mathcal{L}$  to  $\bar{X} = X \cup \Sigma$ ?

What we need is a device that tells us when a rational section of  $\mathcal{L}$  has a zero or a pole at a point of  $\Sigma$ .

For every  $\sigma \in \Sigma$  we put a Hermitian metric,  $\|\cdot\|_\sigma$ , on the vector space  $\mathcal{L}_\sigma = \mathcal{L} \otimes \mathbb{C}$ .

The space  $\bigoplus_{\sigma} \mathcal{L}_\sigma$  has a canonical antilinear involution,  $F_\infty$ , that leaves  $\mathcal{L}$  invariant. We assume that the above set of metrics is invariant under this involution.

We observe that  $(\bigoplus \mathcal{L}_\sigma)^{F_\infty} \cong \mathbb{R}^{[K:\mathbb{Q}]}$ , and the above metrics induce a norm on this space.

# Global sections

We write  $\overline{\mathcal{L}} = (\mathcal{L}, \{\|\cdot\|_\sigma\}_\sigma)$ .

## Definition

Given a rational section  $s \in \mathcal{L} \otimes K$  and a complex immersion  $\sigma$  of  $K$  we say that  $s$  is regular on  $\sigma$  if  $\|s\|_\sigma \leq 1$ . We say that  $s$  has a pole on  $\sigma$  if  $\|s\|_\sigma > 1$ .

Therefore we write

$$H^0(\overline{X}, \overline{\mathcal{L}}) = \{s \in \mathcal{L} \mid \|s\|_\sigma \leq 1, \forall \sigma \in \Sigma\}.$$

Therefore “global sections” are “small sections”.

# The arithmetic degree

The degree of a line bundle counts the number of zeros of a rational section minus the number of poles. This number is well defined thanks to the residue formula. This leads to the following definition of arithmetic degree.

## Definition

Let  $s$  be any section of  $\mathcal{L}$ . Then we define

$$\widehat{\deg}(\overline{\mathcal{L}}) = \log(\#(\mathcal{L}/(\mathcal{O}_K \cdot s))) - \sum_{\sigma \in \Sigma} \frac{1}{e_\sigma} \log \|s\|_\sigma,$$

where  $e_\sigma = 1$  if  $\sigma$  is real and  $e_\sigma = 2$  otherwise.

This number is well defined as a consequence of the product formula.

# The arithmetic asymptotic Riemann-Roch Theorem

The line bundle  $\mathcal{L}$ , defines a lattice in the vector space  $(\bigoplus \mathcal{L}_\sigma)^{F_\infty} \cong \mathbb{R}^{[K:\mathbb{Q}]}$ . Recall that this vector space has a norm. Then

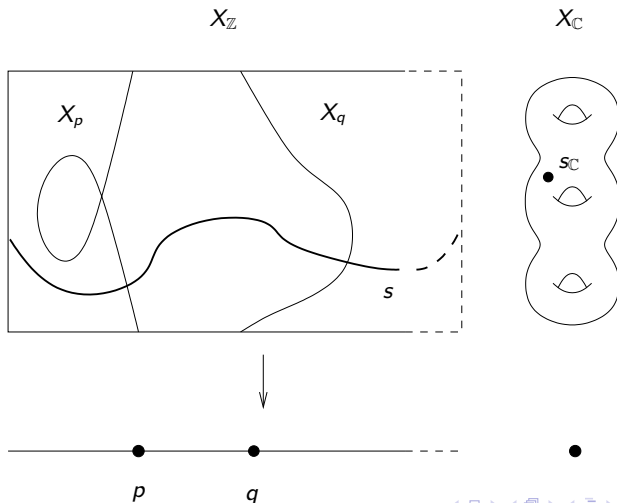
$$\widehat{\deg}(\overline{\mathcal{L}}) = -\log \text{Vol}(\mathbb{R}^{[K:\mathbb{Q}]} / \mathcal{L}) + \frac{1}{2} \log |D_K|.$$

Therefore, Minkowski Theorem implies

**Theorem (Arithmetic asymptotic Riemann-Roch Theorem)**

*If  $\widehat{\deg}(\overline{\mathcal{L}}) \gg 0$ , then  $H^0(\overline{X}, \overline{\mathcal{L}}) \neq 0$ .*

# Arithmetic variety



# Truncated relative cohomology groups

# Relative cohomology

Let  $f : A^* \longrightarrow B^*$  be a morphism of complexes of abelian groups.

## Definition

The simple complex associated to  $f$  is the complex

$$s(f)^n = A^n \oplus B^{n-1}, \quad d(a, b) = (d a, f(a) - d b).$$

The relative cohomology groups of  $f$  are

$$H^*(A, B) = H^*(s(f)).$$



# A long exact sequence

Recall that, for a complex of abelian groups  $A^*$ , the  $k$ -th shift is defined as

$$A[k]^n = A^{k+n}, \quad d = (-1)^k d.$$

Let  $f : A^* \rightarrow B^*$  as before. There are natural morphisms

$$\begin{array}{ccc} \omega : s(f) & \longrightarrow & A \\ (a, b) & \longmapsto & a \end{array} \qquad \begin{array}{ccc} b : B[1] & \longrightarrow & s(f) \\ b & \longmapsto & (0, -b) \end{array}$$

and a short exact sequence

$$0 \longrightarrow B[-1] \xrightarrow{b} s(f) \xrightarrow{\omega} A \longrightarrow 0$$

That induces a long exact sequence

$$\dots \longrightarrow H^n(A, B) \longrightarrow H^n(A) \longrightarrow H^n(B) \longrightarrow \dots$$

# The simple, the kernel and the co-kernel

The simple of a morphism of complexes is a generalization of the kernel of a monomorphism and the cokernel of an epimorphism.

## Lemma

Let

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

be a short exact sequence of abelian groups. Then there are natural quasi-isomorphisms

$$\begin{array}{ccc} s(f) & \longrightarrow & C[-1] \\ (a, b) & \longmapsto & g(b) \end{array} \qquad \begin{array}{ccc} A & \longrightarrow & s(g) \\ a & \longmapsto & (f(a), 0). \end{array}$$

## Example: deRham cohomology with supports

Let  $M$  be a differentiable manifold,  $Y$  a closed subset of  $M$  and  $U = M \setminus Y$ . Let  $A^*(M)$  denote the complex of real valued differential forms on  $M$ .

There is a restriction morphism  $\rho : A^*(M) \longrightarrow A^*(U)$ . By abuse of notation, if  $\omega \in A^*(M)$  we will sometimes denote also by  $\omega$  the restriction  $\rho(\omega)$ .

### Definition

The deRham cohomology of  $M$  with support on  $Y$  is defined as

$$H_Y^n(M, \mathbb{R}) = H^n(s(\rho))$$

By definition there is a long exact sequence

$$\dots \longrightarrow H_Y^n(M, \mathbb{R}) \longrightarrow H^n(M, \mathbb{R}) \longrightarrow H^n(U, \mathbb{R}) \longrightarrow \dots$$

# The product in cohomology with support I

The exterior product of differential forms induces a product in cohomology

$$H^n(M, \mathbb{R}) \otimes H^m(M, \mathbb{R}) \longrightarrow H^{n+m}(M, \mathbb{R})$$

That is graded commutative and associative.

By sheaf theory we know that, if  $Y$  and  $Z$  are closed subsets of  $M$  then there is a product

$$H_Y^n(M, \mathbb{R}) \otimes H_Z^m(M, \mathbb{R}) \longrightarrow H_{Y \cap Z}^{n+m}(M, \mathbb{R}).$$

How we can obtain such product with differential forms?

# The product in cohomology with support II

First observe that if we write  $U = M \setminus Y$  and  $V = M \setminus Z$ , then there is a short exact sequence

$$0 \longrightarrow A^*(U \cup V) \xrightarrow{u} A^*(U) \oplus A^*(V) \xrightarrow{v} A^*(U \cap V) \longrightarrow 0,$$

with  $u(\omega) = (\omega, \omega)$  and  $v(\omega, \eta) = \eta - \omega$ . This exact sequence reflects the Mayer-Vietoris sequence in cohomology.

Therefore there is a quasi-isomorphism

$$A^*(U \cup V) \longrightarrow s(v)$$

There is also a well defined morphism  $j : A^*(M) \longrightarrow s(v)$  given by  $j(\omega) = ((\omega, \omega), 0)$ .

We obtain an isomorphism

$$H_{Y \cap Z}^*(M, \mathbb{R}) = H^*(A^*(M), A^*(U \cup V)) \longrightarrow H^*(s(j)).$$

# The product in cohomology with support III

There is a well defined morphism of complexes

$$s(A^*(M) \rightarrow A^*(U)) \otimes s(A^*(M) \rightarrow A^*(V)) \xrightarrow{\mu} s(j),$$

given, for  $(\omega_1, \eta_1)$  of degree  $n$  and  $(\omega_2, \eta_2)$  of degree  $m$ , by

$$\mu((\omega_1, \eta_1) \otimes (\omega_2, \eta_2)) = (\omega_1 \wedge \omega_2, ((\eta_1 \wedge \omega_2, (-1)^n \omega_1 \wedge \eta_2), (-1)^{n-1} \eta_1 \wedge \eta_2)).$$

## Proposition

The above product induces the cup product in cohomology with support.

# Truncated relative cohomology classes

Let  $f : A^* \rightarrow B^*$  be a morphism of complexes. Let  $\sigma$  denote the bête filtration:

$$\sigma^n A^m = \begin{cases} A^m, & \text{if } m \geq n, \\ 0, & \text{if } m < n. \end{cases}$$

## Definition

The truncated relative cohomology groups of  $f$  are defined as

$$\widehat{H}^n(A, B) = H^n(\sigma^n A, B).$$

As we will see, the truncated cohomology groups are something between a cycle in the simple of  $f$  and a class in relative cohomology.

# An explicit description.

## Notation

Given a complex  $A$  we will denote

$$\begin{aligned}Z A^n &= \text{Ker}(d : A^n \longrightarrow A^{n+1}), \\ \tilde{A}^n &= A^n / d A^{n-1}.\end{aligned}$$

Note that there is a well defined map

$$d : \tilde{A}^{n-1} \longrightarrow Z A^n.$$

Then

$$\hat{H}^n(A, B) = \{(\omega, \tilde{g}) \in Z A^n \oplus \tilde{B}^{n-1} \mid d \tilde{g} = f(\omega)\}.$$



# Properties of truncated relative cohomology groups

## Proposition

There are maps

$$\begin{array}{ccc} \text{cl} : \widehat{H}^n(A, B) & \longrightarrow & H(A, B) \\ (\omega, \widetilde{g}) & \longmapsto & [(\omega, g)] \end{array} \quad \begin{array}{ccc} \omega : \widehat{H}^n(A, B) & \longrightarrow & \mathbb{Z} A^n \\ (\omega, \widetilde{g}) & \longmapsto & \omega. \end{array}$$

$$\begin{array}{ccc} a : \widetilde{A}^{n-1} & \longrightarrow & \widehat{H}^n(A, B) \\ \widetilde{a} & \longmapsto & [(-d a, -\widetilde{f(a)})] \end{array} \quad \begin{array}{ccc} b : H^{n-1}(B) & \longrightarrow & \widehat{H}^n(A, B) \\ [b] & \longmapsto & (0, -\widetilde{b}). \end{array}$$

The following sequence is exact

$$H^{n-1}(A, B) \longrightarrow \widetilde{A}^{n-1} \xrightarrow{a} \widehat{H}^n(A, B) \longrightarrow H^n(A, B) \longrightarrow 0.$$

# Change of complexes

The following sequence is also exact:

$$0 \longrightarrow H^{n-1}(B) \xrightarrow{b} \widehat{H}^n(A, B) \longrightarrow Z A^n \longrightarrow H^n(B)$$

This means that the dependency on the complex  $A$  is much stronger than the dependency on the complex  $B$ . The following result will be important when defining products.

## Lemma

If  $g : B \longrightarrow C$  is a quasi-isomorphism, then the induced morphism

$$\widehat{H}^n(A, B) \longrightarrow \widehat{H}^n(A, C)$$

is an isomorphism.

# Arithmetic Chow groups

# Arithmetic varieties

Let  $K$  be a number field and let  $\mathcal{O}_K$  be its ring of integers. Let  $X$  be a regular projective flat scheme over  $\mathcal{O}_K$ .

Let  $\Sigma$  be the set of complex immersions of  $K$ . We write

$$X_\Sigma = \prod_{\sigma \in \Sigma} X \times_{\sigma} \text{Spec}(\mathbb{C}).$$

Then  $X_\Sigma$  has an antilinear involution  $F_\infty$  that defines a structure of real scheme. We write  $X_{\mathbb{R}} = (X_\Sigma, F_\infty)$ .

The real scheme  $X_{\mathbb{R}}$  will play the role of the fibre at infinity of a compactification of  $X$ .

An arithmetic cycle will be a pair  $(y, \mathfrak{g}_y)$ , where  $y$  is an algebraic cycle on  $X$  and  $\mathfrak{g}_y$  is an object on  $X_{\mathbb{R}}$  related with  $y$  that we will construct using a cohomology theory.

# A Gillet cohomology

Let  $\mathcal{G}^*(*)$  be a graded complex of sheaves on the big Zariski site of regular schemes over  $\mathbb{R}$  that satisfies Gillet axioms. This auxiliary cohomology will be the gluing that relates the geometry of  $X$  with a cohomology on  $X_{\mathbb{R}}$ .

The fact that  $\mathcal{G}^*(*)$  satisfies Gillet axioms implies that, for any codimension  $p$  algebraic cycle  $y_{\mathbb{R}}$  on  $X_{\mathbb{R}}$  with support  $Y$ , there is a well defined class

$$\text{cl}(y) \in H_Y^{2p}(X_{\mathbb{R}}, \mathcal{G}(p)).$$

Moreover if  $W$  is a subvariety of  $X_{\mathbb{R}}$  of codimension  $p - 1$  and  $f \in K^*(W)$  is a rational function with  $y = \text{div}(f)$ ,  $Y$  the support of  $y$  and  $U = X_{\mathbb{R}} \setminus Y$  then there is a class

$$\text{cl}(f) \in H^{2p-1}(U, \mathcal{G}(p)).$$

# Compatibility of classes

Both classes are compatible in the sense that, if

$$\delta : H^{2p-1}(U, \mathcal{G}(p)) \longrightarrow H_Y^{2p}(X_{\mathbb{R}}, \mathcal{G}(p))$$

is the connection morphism then

$$\delta \operatorname{cl}(f) = \operatorname{cl}(\operatorname{div} f).$$

# Arithmetic complexes I

A Gillet cohomology satisfies many properties. In many applications it is useful to use a complex with fewer properties. To this end we introduce the notion of arithmetic complexes.

## Definition

Let  $X_{\mathbb{R}}$  be a real scheme and  $\mathcal{G}^*(*)$  a Gillet cohomology. An arithmetic  $\mathcal{G}^*(*)$ -complex is a graded complex of sheaves,  $\mathcal{C}^*(*)$  in the Zariski topology of  $X_{\mathbb{R}}$  provided with a structure morphism

$$c : \mathcal{G}^*(*) \longrightarrow \mathcal{C}^*(*),$$

such that all the sheaves  $\mathcal{C}^n(p)|_U$  are acyclic for all  $n, p \in \mathbb{Z}$  and  $U$  open subset of  $X$ .

The group of sections of  $\mathcal{C}^n(p)$  over  $U$  will be denoted  $\mathcal{C}^n(U, p)$ .



# Arithmetic complexes II

The acyclicity of the sheaves  $\mathcal{C}^n(p)|_U$  is equivalent to the Mayer-Vietoris principle.

## Mayer-Vietoris principle

For any pair of open sets  $U, V$  of  $X_{\mathbb{R}}$  the sequence

$$0 \rightarrow \mathcal{C}^n(U \cup V, p) \rightarrow \mathcal{C}^n(U, p) \oplus \mathcal{C}^n(V, p) \rightarrow \mathcal{C}^n(U \cap V, p) \rightarrow 0$$

is exact.

Moreover the above acyclicity allows us to compute the hypercohomology of  $\mathcal{C}$  by means of the complex of global sections. Therefore, for  $Y$  a closed subset of  $X_{\mathbb{R}}$  with  $U = X_{\mathbb{R}} \setminus Y$ , we will use the notation

$$H_C^*(U, p) = H^*(\mathcal{C}(U, p)), \quad H_{C, Y}^*(X, p) = H^*(\mathcal{C}(X, p), \mathcal{C}(U, p)).$$



# Classes for cycles and functions

The structure morphism  $\mathfrak{c} : \mathcal{G} \longrightarrow \mathcal{C}$  induces morphisms

$$\begin{aligned} H^*(U, \mathcal{G}(p)) &\longrightarrow H_{\mathcal{C}}^*(U, p), \\ H_Y^*(X, \mathcal{G}(p)) &\longrightarrow H_{\mathcal{C}, Y}^*(X, p). \end{aligned}$$

Therefore, for  $y$  an algebraic cycle and  $f$  a rational function as before, we obtain compaticle classes

$$\begin{aligned} \text{cl}(y) &\in H_{\mathcal{C}, Y}^{2p}(X, p), \\ \text{cl}(f) &\in H_{\mathcal{C}}^{2p-1}(U, p). \end{aligned}$$

# Green objects I

Let  $y$  be a codimension  $p$  algebraic cycle on  $X$ . Then it defines an algebraic cycle  $y_{\mathbb{R}}$  on  $X_{\mathbb{R}}$ . Let  $Y$  be the support of  $y$  and let  $U = X_{\mathbb{R}} \setminus Y$ .

## Definition

The space of Green objects for the cycle  $y$  is

$$\begin{aligned} GO(y) &= \left\{ \mathfrak{g} \in \widehat{H}^{2p}(\mathcal{C}(X, p), \mathcal{C}(U, p)) \mid \text{cl}(\mathfrak{g}) = \text{cl}(y) \right\} \\ &= \left\{ (\omega, \tilde{\mathfrak{g}}) \in Z\mathcal{C}^{2p}(X, p) \oplus \tilde{\mathcal{C}}^{2p-1}(U, p) \mid [\omega, \tilde{\mathfrak{g}}] = \text{cl}(y) \right\} \end{aligned}$$

If  $\mathfrak{g}$  and  $\mathfrak{g}'$  are two Green objects for the same cycle  $y$  then  $\mathfrak{g} - \mathfrak{g}' = a(\eta)$ , for some  $\eta \in \tilde{\mathcal{C}}^{2p-1}(X, p)$ .

## Green objects II

The Green objects for different cycles live in different spaces. To glue together all these spaces we have take a limit. Let  $\mathcal{Z}^p$  denote the set of codimension  $p$  closed subsets of  $X_{\mathbb{R}}$ . We write

$$\widehat{H}_{\mathcal{C}, \mathcal{Z}^p}^{2p}(X, p) = \varinjlim_{Y \in \mathcal{Z}^p} \widehat{H}_{\mathcal{C}, Y}^{2p}(X, p).$$

If  $\mathcal{C}$  satisfies a purity property then the maps  $GO(y) \rightarrow \widehat{H}_{\mathcal{C}, \mathcal{Z}^p}^{2p}(X, p)$  are injective. We write

$$GO^p(X) = \bigcup_{y \text{ of cod } p} GO(y).$$

If  $\mathfrak{g}_y$  and  $\mathfrak{g}_{y'}$  are Green objects for the cycles  $y$  and  $y'$  then  $\mathfrak{g}_y + \mathfrak{g}_{y'}$  is a Green object for the cycle  $y + y'$ .

# Green objects and rational functions

We denote by  $X^{(p-1)}$  the set of irreducible subvarieties of codimension  $p - 1$  and we write

$$R_p^{p-1}(X) = \bigoplus_{W \in X^{(p-1)}} K^*(W).$$

The elements of this group are called  $K_1$ -chains.

## Definition

Let  $f \in R_p^{p-1}(X)$ . Write  $y = \operatorname{div} f$ ,  $Y$  the support of  $y_{\mathbb{R}}$  and  $U = X_{\mathbb{R}} \setminus Y$ . Then the Green object associated to  $f$  is

$$g(f) = b(\operatorname{cl}(f)) \in GO(\operatorname{div} f),$$

where  $b : H_C^{2p-1}(U, p) \longrightarrow \widehat{H}^{2p}(\mathcal{C}(X, p), \mathcal{C}(U, p))$ .

# Abstract arithmetic Chow groups

## Definition

With the notations as above we write

$$\begin{aligned}\widehat{Z}^P(X, \mathcal{C}) &= \{(z, \mathfrak{g}) \in Z^P(X) \oplus GO^P(X) \mid \text{cl}(z) = \text{cl}(\mathfrak{g})\}, \\ \widehat{\text{Rat}}^P(X, \mathcal{C}) &= \{(\text{div } f, \mathfrak{g}(f)) \mid f \in R_p^{p-1}\}, \\ \widehat{\text{CH}}^P(X, \mathcal{C}) &= \widehat{Z}^P(X, \mathcal{C}) / \widehat{\text{Rat}}^P(X, \mathcal{C}).\end{aligned}$$

There is a dictionary between properties of  $\mathcal{C}$  and properties of  $\widehat{\text{CH}}^*(X, \mathcal{C})$ .

# Classical arithmetic Chow groups

# Logarithmic singularities at infinity

We want to recover the arithmetic Chow groups of Gillet and Soulé from this abstract setting.

Let  $X$  be a projective complex manifold  $D$  a normal crossings divisor and  $U = X \setminus D$ . We have introduced in the previous lecture the sheaf of differential forms on  $X$  with logarithmic singularities along  $D$ ,  $\mathcal{E}_X^*(\log D)$ . We denote by  $E_X^*(\log D)$  complex of global sections. This complex computes the cohomology of  $U$  with its Hodge filtration.

In order to have a complex that only depends on  $U$  and not on  $X$  we define

$$E_{\log}^*(U) = \lim_{(\bar{X}, D)} E_X^*(\log D),$$

where  $(\bar{X}, D)$  runs over all the compactifications of  $U$  with  $D = \bar{X} \setminus U$  a normal crossing divisor.

# Deligne-Beilinson cohomology as a Gillet cohomology

Since  $E_{\log}^*(U)$  is a Dolbeault algebra, we can construct the associated Deligne complex and we denote

$$\mathcal{D}_{\log}(U, p) = \mathcal{D}(E_{\log}(U), p).$$

If  $U_{\mathbb{R}} = (U_{\mathbb{C}}, F_{\infty})$  is a smooth quasi-projective real variety, we denote also by  $F_{\infty}$  the involution on  $\mathcal{D}_{\log}(U_{\mathbb{C}}, p)$  that acts as  $F_{\infty}$  on the space and as complex conjugation on the coefficients. We denote

$$\mathcal{D}_{\log}(U_{\mathbb{R}}, p) = \mathcal{D}_{\log}(U_{\mathbb{C}}, p)^{F_{\infty}}.$$

## Theorem

The assignment  $U \mapsto \mathcal{D}_{\log}(U_{\mathbb{R}}, p)$  is a graded complex of sheaves in the big Zariski site of regular real schemes that satisfies Gillet axioms.





# An arithmetic complex

Since the sheaf  $\mathcal{D}_{\log}$  satisfies Gillet axioms we can take it as our Gillet complex  $\mathcal{G}$ . Since it also satisfies the Mayer-Vietoris principle it is also an arithmetic  $\mathcal{D}_{\log}$ -complex with the identity as structure morphism.

Let  $y$  be a codimension  $p$  algebraic cycle on  $X$  with support  $Y$  and write  $U = X_{\mathbb{R}} \setminus Y$ . Then a Green object for  $y$  in the complex  $\mathcal{D}_{\log}$  is a pair

$$(\omega_y, \tilde{g}_y) \in Z\mathcal{D}_{\log}^{2p}(X_{\mathbb{R}}, p) \oplus \tilde{\mathcal{D}}_{\log}^{2p-1}(U, p)$$

with  $d_{\mathcal{D}} g_y = \omega_y$ .

These Green objects are called Green forms

# Green forms

Unfolding the definition of the Deligne complex we obtain that

$$\omega_y \in \left( E_{\mathbb{C}}^{p,p}(X) \cap (2\pi i)^p E_{\mathbb{R}}^{2p}(X) \right)^{F_{\infty}}, \quad d\omega_y = 0,$$

$$\tilde{g}_y \in \left( E_{\mathbb{C}}^{p-1,p-1}(X) \cap (2\pi i)^{p-1} E_{\mathbb{R}}^{2p-2}(X) \right)^{F_{\infty}} / (\text{Im } \partial + \text{Im } \bar{\partial})$$

These forms are related by  $\omega_y = -2\partial\bar{\partial}\tilde{g}_y$ . Finally the last condition is that the class  $[(\omega_y, g_y)] \in H_{D,Y}^{2p}(X_{\mathbb{R}}, \mathbb{R}(p))$  is the class of  $y$ .

If  $f \in K^*(X)$  is a rational function then the Green form  $\mathfrak{g}(f)$  is given explicitly by

$$\mathfrak{g}(f) = \left( 0, -\frac{1}{2} \log(f\bar{f}) \right).$$

# $\mathcal{D}_{\log}$ -Arithmetic Chow groups

Since  $\mathcal{D}_{\log}$  is an arithmetic complex we can define the arithmetic Chow groups with coefficients in  $\mathcal{D}_{\log}$  that we denote  $\widehat{\text{CH}}^*(X, \mathcal{D}_{\log})$ .

Properties:

- 1  $\widehat{\text{CH}}^*(X, \mathcal{D}_{\log}) \otimes \mathbb{Q}$  is a commutative and associative algebra.
- 2 If  $f : X \rightarrow Y$  is a morphism of arithmetic varieties then there is an inverse image morphism

$$f^* : \widehat{\text{CH}}^*(Y, \mathcal{D}_{\log}) \rightarrow \widehat{\text{CH}}^*(X, \mathcal{D}_{\log}).$$

- 3 If  $f : X \rightarrow Y$  is a morphism of arithmetic varieties of relative dimension  $e$ , such that  $f_{\mathbb{R}} : X_{\mathbb{R}} \rightarrow Y_{\mathbb{R}}$  is smooth then there is a direct image morphism

$$f_* : \widehat{\text{CH}}^*(X, \mathcal{D}_{\log}) \rightarrow \widehat{\text{CH}}^{*-e}(Y, \mathcal{D}_{\log}).$$

# Exact sequences

## Theorem

*The following sequences are exact*

$$\mathrm{CH}^{p-1,p}(X) \xrightarrow{\rho} \widetilde{\mathcal{D}}_{\log}^{2p-1}(X, \rho) \xrightarrow{a} \widehat{\mathrm{CH}}^p(X, \mathcal{D}_{\log}) \xrightarrow{\zeta} \mathrm{CH}^p(X) \rightarrow 0,$$

$$\begin{aligned} \mathrm{CH}^{p-1,p}(X) \xrightarrow{\rho} H_{\mathcal{D}}^{2p-1}(X_{\mathbb{R}}, \mathbb{R}(p)) \xrightarrow{a} \widehat{\mathrm{CH}}^p(X, \mathcal{D}_{\log}) \xrightarrow{(\zeta, -\omega)} \\ \mathrm{CH}^p(X) \oplus \mathrm{Z}\mathcal{D}_{\log}^{2p}(X, \rho) \xrightarrow{\mathrm{cl}+h} H_{\mathcal{D}}^{2p}(X_{\mathbb{R}}, \mathbb{R}(p)) \rightarrow 0, \end{aligned}$$

$$\begin{aligned} \mathrm{CH}^{p-1,p}(X) \xrightarrow{\rho} H_{\mathcal{D}}^{2p-1}(X_{\mathbb{R}}, \mathbb{R}(p)) \xrightarrow{a} \widehat{\mathrm{CH}}^p(X, \mathcal{D}_{\log})_0 \xrightarrow{\zeta} \\ \mathrm{CH}^p(X)_0 \rightarrow 0. \end{aligned}$$



# The algebraic degree and the arithmetic degree

The arithmetic Chow of  $\text{Spec } \mathbb{Z}$  are

$$\widehat{\text{CH}}^0(\text{Spec } \mathbb{Z}) = \text{CH}^0(\text{Spec } \mathbb{Z}) = \mathbb{Z},$$

$$\widehat{\text{CH}}^1(\text{Spec } \mathbb{Z}) = H_{\mathcal{D}}^1(\text{Spec } \mathbb{R}, \mathbb{R}(1)) = \mathbb{R}.$$

If  $X$  is an arithmetic variety of relative dimension  $d$ , there is a unique map  $\pi : X \rightarrow \text{Spec } \mathbb{Z}$ . We write, for  $x \in \widehat{\text{CH}}^d(X, \mathcal{D}_{\log})$  and  $y \in \widehat{\text{CH}}^{d+1}(X, \mathcal{D}_{\log})$ ,

$$\text{deg}(x) = \pi_*(x),$$

$$\widehat{\text{deg}}(y) = \pi_*(y).$$

# Currents.

Let  $X$  be a complex algebraic manifold of dimension  $d$ . The sheaf  $\mathcal{D}_X^n$  of currents of degree  $n$  on  $X$  is defined as follows. For any open subset  $U$  of  $X$ , the group  $\mathcal{D}_X^n(U)$  is the topological dual of the group of sections with compact support  $\Gamma_c(U, \mathcal{E}_X^{2d-n})$ . The differential  $d : \mathcal{D}_X^n \rightarrow \mathcal{D}_X^{n+1}$  is defined by

$$d T(\varphi) = (-1)^n T(d\varphi).$$

The complex  $\mathcal{D}$  is a Dolbeault complex.

There is a well defined morphism of complexes  $\mathcal{E}_X^n \rightarrow \mathcal{D}_X^n$  that to a form  $\omega$  assigns the current  $[\omega]$  given by

$$[\omega](\eta) \mapsto \frac{1}{(2\pi i)^d} \int_X \eta \wedge \omega.$$

This morphism is a quasi-isomorphism.



# Examples of currents

## Example

If  $\omega$  is a locally integrable differential form, then there is an associated current  $[\omega]$  given also by

$$[\omega](\eta) \longmapsto \frac{1}{(2\pi i)^d} \int_X \eta \wedge \omega.$$

In general  $d[\omega] \neq [d\omega]$ . The difference is called the residue of  $\omega$ . If  $Y$  is a subvariety of  $X$  of dimension  $e$ . Let  $\tilde{Y}$  be a resolution of singularities of  $Y$ , and  $\iota: \tilde{Y} \rightarrow X$  the induced map. Then, the current *integration along  $Y$* , denoted by  $\delta_Y$ , is defined by

$$\delta_Y(\eta) = \frac{1}{(2\pi i)^e} \int_{\tilde{Y}} \iota^* \eta.$$



# Green currents

Using currents we can give a criterion for a pair  $(\omega, \tilde{g})$  to represent the class of an algebraic cycle  $y$ . (That is the original definition of Green current)

## Theorem

*Let  $X$  be a complex algebraic manifold, and  $y$  a  $p$ -codimensional cycle on  $X$  with support  $Y$ . Let  $(\omega, g)$  be a cycle in*

$$s^{2p}(\mathcal{D}_{\log}(X, p) \longrightarrow \mathcal{D}_{\log}(X \setminus Y, p)).$$

*Then, the form  $g$  is locally integrable and the class of the cycle  $(\omega, g)$  in  $H_{\mathcal{D}, Y}^{2p}(X, \mathbb{R}(p))$  is equal to the class of  $y$ , if and only if*

$$-2\partial\bar{\partial}[g]_X = [\omega] - \delta_y.$$



# Comparison of arithmetic Chow groups

As we have seen in the previous slide a Green form for a cycle defines a Green current for the same cycle.

## Theorem

*The assignment  $[y, (\omega_y, \tilde{g}_y)] \mapsto [y, 2(2\pi i)^{d-p+1}[g_y]_x]$  induces an isomorphism*

$$\psi : \widehat{CH}^p(X, \mathcal{D}_{\log}) \longrightarrow \widehat{CH}^p(X),$$

*which is compatible with products, pull-backs and push-forwards.*

# Hermitian vector bundles

# Hermitian vector bundles

We have developed an arithmetic intersection theory. The other main ingredient is to extend the notion of vector bundles to the arithmetic setting and to develop a theory of characteristic classes. Let  $X$  as before be a projective regular flat scheme over  $\mathcal{O}_K$ . Let  $E$  be a rank  $r$  locally free sheaf on  $X$ .

What extra structure we need to add to  $E$  over  $X_{\mathbb{R}}$  to “compactify” it?

## Definition

A Hermitian vector bundle is a locally free sheaf  $E$  over  $X$  together with a hermitian metric  $h$  on  $E_{\mathbb{C}}$  that is invariant under  $F_{\infty}$ . We denote  $\bar{E} = (E, h)$ .

Intuitively the hermitian metric tells us when a section of  $E$  is regular on the fibres at infinity.

# Line bundles

Let  $\overline{\mathcal{L}} = (\mathcal{L}, h)$  be a hermitian line bundle.

We can define the first Chern class of  $\overline{\mathcal{L}}$  as follows.

Let  $s$  be a rational section of  $\mathcal{L}$ . Then we write

$$\widehat{c}_1(\overline{\mathcal{L}}) = [(\operatorname{div} s, (-2\partial\bar{\partial}(-\frac{1}{2}\log h(s, s)), -\frac{1}{2}\log h(s, s)))] \in \widehat{\operatorname{CH}}^1(X, \mathcal{D}_{\log})$$

It is easy to see that this class is independent of the choice of  $s$ .

## Theorem

*The map  $\widehat{c}_1$  induces an isomorphism of groups*

$$\left\{ \begin{array}{l} \text{Isometry classes of} \\ \text{Hermitian line bundles} \end{array} \right\} \longrightarrow \widehat{\operatorname{CH}}^1(X, \mathcal{D}_{\log}).$$

# Heights

The formalism of arithmetic Chow groups allow us to define heights. The height of a cycle is a measure of its arithmetic complexity and is the arithmetic analogue of the degree of a cycle. Let  $\overline{\mathcal{L}}$  be a Hermitian vector bundle and let  $z \in Z^p(X)$  be a codimension  $p$  algebraic cycle. We choose a Green form  $\mathfrak{g}_z = (\omega_z, g_z)$  for  $z$  and we write

$$h_{\overline{\mathcal{L}}}(z) = \widehat{\deg}(\widehat{c}_1(\overline{\mathcal{L}})^{d-p+1} \cdot (z, \mathfrak{g}_z)) - \frac{1}{(2\pi i)^d} \int_{X_{\mathbb{C}}} c_1(\overline{\mathcal{L}})^{d-p+1} \wedge g_z$$

## Theorem (Bost-Gillet-Soulé)

*If  $\mathcal{L}$  is ample then for any real number  $A > 0$  the set of effective cycles  $z$  with  $h_{\overline{\mathcal{L}}}(z) < A$  and  $\deg_{\mathcal{L}}(z) < A$  is finite.*

# Arithmetic characteristic classes of vector bundles

## Theorem

Let  $\phi$  be a symmetric power series in  $r$  variables with rational coefficients. Then there is a unique way to attach to every Hermitian vector bundle  $\bar{E} = (E, h)$  a characteristic class

$$\hat{\phi}(\bar{E}) \in \widehat{\text{CH}}^*(X, \mathcal{D}_{\log}) \otimes \mathbb{Q}$$

satisfying the following properties

**Functoriality.** When  $f : Y \rightarrow X$  is a morphism of arithmetic varieties, then

$$f^*(\hat{\phi}(\bar{E})) = \hat{\phi}(f^*\bar{E}).$$

**Normalization.** When  $\bar{E} = \bar{L}_1 \oplus \cdots \oplus \bar{L}_n$  is a orthogonal direct sum of hermitian line bundles, then

$$\hat{\phi}(\bar{E}) = \phi(\hat{c}_1(\bar{L}_1), \dots, \hat{c}_1(\bar{L}_n)).$$

**Twist by a line bundle.** Let  $\phi(T_1 + T, \dots, T_n + T) = \sum_{i \geq 0} \phi_i(T_1, \dots, T_n) T^i$ .

Let  $\bar{L}$  be a Hermitian line bundle. Then

$$\hat{\phi}(\bar{E} \otimes \bar{L}) = \sum_i \hat{\phi}_i(\bar{E}) \hat{c}_1(\bar{L})^i.$$

**Compatibility with characteristic forms.**

$$\omega(\hat{\phi}(\bar{E})) = \phi(E, h).$$

# Compatibility with Bott-Chern forms

The above characteristic classes are compatible with the Bott-Chern forms in the following sense.

Let  $\bar{\xi}$  be a short exact sequence of Hermitian vector bundles

$$0 \longrightarrow (E', h') \longrightarrow (E, h) \longrightarrow (E'', h'') \longrightarrow 0.$$

Then

$$\widehat{\phi}((E', h') \oplus (E'', h'')) - \widehat{\phi}((E, h)) = a(\phi(\bar{\xi}))$$



# Arithmetic $K_0$

We want to generalize the isomorphism between isometry class of line bundles to higher dimensional vector bundles.

## Definition

$\widehat{K}_0(X)$  is the quotient of the abelian group of pairs  $(\sum_i n_i \bar{E} + \eta)$ , where the  $\bar{E}_i$  are Hermitian vector bundle and  $\eta \in \bigoplus_p \mathcal{D}_{\log}^{2p-1}(X, p)$ , by the subgroup generated by elements of the form

$$\bar{E}' + \bar{E}'' - \bar{E} - \text{ch}(\bar{\xi})$$

for every exact sequence  $\bar{\xi}$

$$0 \longrightarrow \bar{E}' \longrightarrow \bar{E} \longrightarrow \bar{E}'' \longrightarrow 0.$$

# The Chern character

There is a well defined morphism

$$\text{ch} : \widehat{K}_0(X) \longrightarrow \bigoplus \widehat{CH}^p(X) \otimes \mathbb{Q},$$

given by  $\text{ch}(\overline{E}, \omega) = \widehat{\text{ch}}(\overline{E}) + a(\omega)$ .

This morphism induces an isomorphism

$$\text{ch} : \widehat{K}_0(X) \otimes \mathbb{Q} \longrightarrow \bigoplus \widehat{CH}^p(X) \otimes \mathbb{Q},$$